

Pershing Fee Schedule

You may be subject to the following charges, if applicable. Please contact your Financial Advisor for further information.

Miscellaneous Fees

Returned Checks	-Corestone Checking	\$25 per Event
	-Resource Checking	\$20 per Item
Stop Payment Request	-Corestone Checking	\$25 per Event
	-Resource Checking	\$10 per Item
Copy of Paid Check		\$2.50 per Item
1099 Tax and Year-End Statement		\$2.00 Annual Fee
Paper surcharge for Statements and Confirms		\$0.75 per Item
Overnight Delivery	-Standard	\$12
	-Saturday	\$18
	-International	\$25
Outgoing Account Transfers		\$90 per Transfer
Legal/Restricted Transfers		\$135 per Transfer
Transfer and Ship Physical Certificates		\$60 per Transfer
IRA Termination Fee		\$95 per Account
Wired Funds		\$15 per Wire Transfer
Transaction Confirm Trading Fee (Equity & Fixed Income)		\$5 per Transaction
Foreign Deliver Fee (Euroclear)		\$25 per Item
Foreign Deliver Fee (Other Securities)		\$75 per Item

Yearly Maintenance Fees

Safekeeping Fee	\$2.00 per Account, per Position, per Month
Foreign Security Safekeeping Fee (Additional Fees may apply)	\$2.00 per Account, per Position, per Month
Custody Fee (Inactive Retail Accounts)	\$25 per Year
Custody Fee (Inactive Mutual Fund only Account)	\$12.50 per Year
IRA & ROTH IRA Maintenance Fee	\$35 per Year
SEP, Simple IRA, Qualified Retirement Plans	\$50 per Year
IRS Form 990-T Limited Partnership Unrelated Business Taxable Income (UBTI)	\$200 per Tax Return

Reorganization Activities

Voluntary	\$25 per Item
Mandatory	Waived
Bond Redemption	Waived

Corestone Schedule

Silver Account	\$25 (Waived if Cash Balance is more than \$25,000)
Silver Account Plus	\$50
Gold Account	\$100
Platinum Account	\$150
Corporate Gold Account	\$150
Corporate Platinum Account	\$250
Corestone Check Reorder	-Silver \$12.50
	-Gold \$10.00
	-Platinum \$7.50
Business Checks	-Initial Order \$50
	-Reorder \$40